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# ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE

## Sixth synthesis report on activities implemented jointly under the pilot phase

## Note by the secretariat

**Summary**

This is the sixth of the annual synthesis reports on activities implemented jointly (AIJ) under the pilot phase. It provides a synthesis of the most recent information available for the 157 AIJ project activities, five more than covered in the previous synthesis report. While there have been no significant changes in the findings, the distribution of project activities between Annex I and non-Annex I host Parties has continued to change in favour of the latter, and, within this group, slightly increased the share of the African region.

At the seventeenth session of the SBSTA, Parties may wish to take note of the sixth synthesis report; forward the sixth synthesis report to the SBI, with a view to a commonly agreed report being forwarded to the eighth session of the COP; and recommend a draft decision for adoption by the COP at its eighth session, including on the revised uniform reporting format.

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# I. INTRODUCTION

## A. Mandate

1. At its first session, the Conference of the Parties (COP) decided to consider annually a synthesis report on the pilot phase of activities implemented jointly (AIJ), to be prepared by the Subsidiary Body for Implementation (SBI) and the Subsidiary Body for Scientific and Technological Advice (SBSTA), with the assistance of the secretariat (decision 5/CP.1).[1]

## B. Scope of the note

2. This document contains the sixth synthesis report on activities implemented jointly under the pilot phase. It provides a synthesis of the most recent information available for 157 AIJ project activities, among them five new ones.[2] While reports may be submitted at any time, the deadline for submitting reports to be considered in the sixth synthesis report was 17 June 2002, as indicated in the conclusions on AIJ under the pilot phase contained in document FCCC/SBSTA/2001/8.

## C. Possible action by the subsidiary bodies

3. At the seventeenth session of the SBSTA, Parties may wish to:

(a) Take note of the sixth synthesis report;

(b) Forward the sixth synthesis report to the SBI, with a view to a commonly agreed report being forwarded to the eighth session of the COP.[3]

(c) Recommend a draft decision for adoption by the COP at its eighth session.[4]

# II. FINDINGS

4. In the light of the small number of new AIJ project activities reported this year, and there being no significant changes as compared to the findings contained in the fifth synthesis report, this report is shorter than previous ones. It focuses only on changes observed since the fifth synthesis report.

5. Since the preparation of the fifth synthesis report in 2001, the **number of AIJ project activities and Parties involved in AIJ** increased slightly: with the submission of five new project activity reports, the total number of AIJ activities now stands at 157.[5] Four of the five new project activities are hosted by Parties not included in Annex I to the Convention (non-Annex I Parties) while one is hosted by a Party with an economy in transition (EIT). A total of 54 (53)[6] Parties are involved in AIJ. Non-Annex I Parties now represent 74 (73) per cent of all host Parties.

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[1] FCCC/CP/1995/7/Add.1.

[2] All activities are reported jointly, as accepted, approved or endorsed, by the relevant designated national authorities, including proof, under official letterhead, of concurrence, approval, acceptance or endorsement of the information by all other Parties involved.

[3] It is anticipated that the SBI will be invited by its chair to join the SBSTA in its deliberations on the sixth synthesis report.

[4] The decision might be merged with the decision on the revised uniform reporting format recommended by the SBSTA at its sixteenth session (see FCCC/SBSTA/2002/xx(report SBSTA16) and FCCC/SBSTA/2002/L.2/Add.1).

[5] The detailed list of projects, with links to the respective reports, is available on the UNFCCC CC: INFO/AIJ web site (http://unfccc.int/program/coop/aij/aijproj.html).

[6] Figures in parentheses indicate values contained in the fifth synthesis report.

6. The **distribution of project activities between Annex I and non-Annex I host Parties**[7] continued to change in favour of the latter. Although EITs still account for more than half of the total number of project activities and more than half of the project activities carried out in Annex I Parties, the **distribution of projects between Annex I and non-Annex I** has gradually been becoming more balanced. In terms of **distribution of project activities** amongst the three regional groups **within non-Annex I Parties,** the share of the African region is now at 18 (13) per cent, as three of the four new project activities are being undertaken in the African region. The shares of the Asia and Pacific region (APC) and the Latin America and Caribbean region (LAC) are, however, still larger with 25 (28) and 56 (58) per cent, respectively (see figure).[8]

7. Regarding the **types of activities with respect to their regional distribution and share of GHG emissions reduced or sequestered,** the trend towards energy-related project activities continued with four out of the five new project activities being in this area. This did, however, not noticeably change their contribution to the total of estimated GHG emissions reduced or sequestered which remains at approximately 65 per cent. One new project activity reported is related to forest preservation in a non-Annex I host Party, which further confirms that almost all forestry projects are located in non-Annex Parties.

8. Parties, with few exceptions, reported information using the uniform reporting format (URF) adopted by the SBSTA at its fifth session.[9] On **national programmes,**[10] one new report was submitted and one Party provided an update on activities covered in earlier reports and a description of future activities. Some Parties informed the secretariat about ongoing operations under their national programmes but did not submit information. Regarding the **exchange of information**, 45 Parties participated in the UNFCCC workshop, held on 2–3 June 2002 in Bonn, to exchange their views on a draft revised URF and their experience with AIJ under the pilot phase. Parties noted at the workshop that the pilot phase of AIJ allowed valuable experience to be gathered for designing and implementing project-based activities. The workshop provided useful inputs to the SBSTA for developing its recommendation on a revised URF for adoption by the COP at its eighth session.

9. This sixth synthesis report contains no new information on the following sections of the URF: cost information, to the extent possible (A.4); mutually agreed assessment procedures (A.5); compatibility with, and supportiveness of, national economic development and socio-economic and environment priorities and strategies (C); benefits derived from the AIJ project (D); capacity-building, transfer of environmentally sound technology and know-how (G); contribution of activities implemented jointly projects that bring about real, measurable and long-term environmental benefits related to the mitigation of climate change that would not have occurred in the absence of such activities (E); and additional comments (H). Parties may wish to refer to the respective findings on these sections contained in previous synthesis reports.

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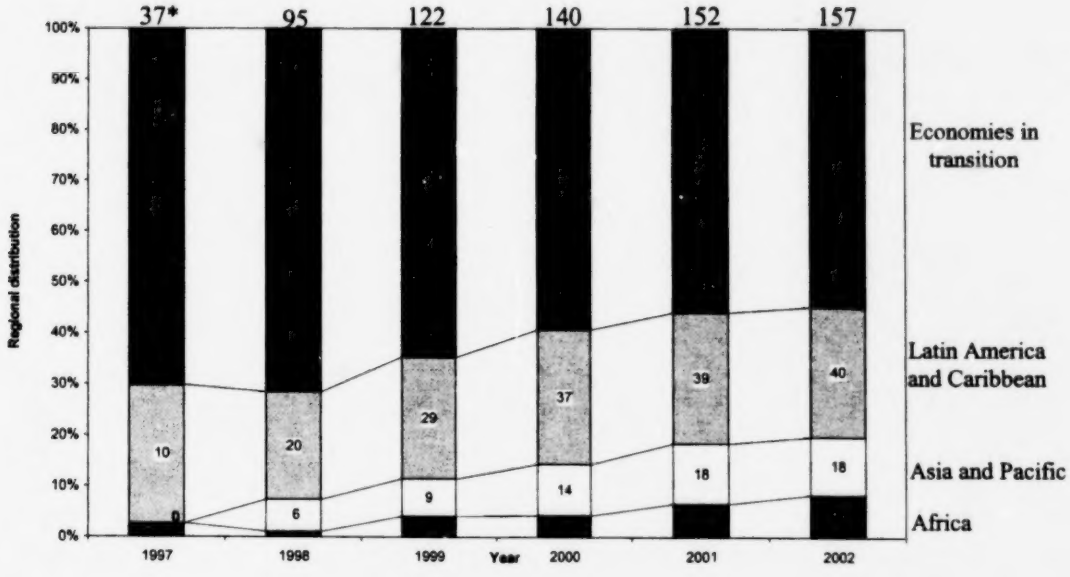
[7] In analyzing the distribution of projects, it should be borne in mind that a significant number (56) of small activities of a similar type are being carried out in three EIT host Parties. These projects are in the areas of energy efficiency (mainly improvement of municipal/district heating systems) and renewable energy (conversion to bio-fuel boilers). These projects are small in terms of investment and greenhouse gas (GHG) impact; other project hosts and investors have clustered such activities and reported them in an aggregated manner as one project.

[8] This and other graphs based on the information contained in the report are made available on the UNFCCC CC: INFO AIJ web site (http://unfccc.int/program/coop/aij) and are updated regularly. Readers having no access to the internet may request printed versions of the graphs.

[9] FCCC/SBSTA/1997/4.

[10] The reports on national programmes, provided in electronic form, are made available on the UNFCCC CC: INFO AIJ web site (http://unfccc.int/program/coop/aij/aij_np.html).

**Figure**
**Regional distribution of AIJ project activities, 1997 - 2002**




* Annual total number of projects (figures within bars represent number of projects within a region)

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